SEC Form 4

X

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OVAL							
OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

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In

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or Section So(ii) of the investment company Act of 1940				
1. Name and Address of Reporting Person* <u>Vo Chuan D.</u>			2. Issuer Name and Ticker or Trading Symbol Lordstown Motors Corp. [RIDE]		ationship of Reporting Per (all applicable) Director	10% Owner	
(Last) 2300 HALLOCH	(EIRST) (MIDDIE)		3. Date of Earliest Transaction (Month/Day/Year) 11/18/2020	X	Officer (give title below) FORMER VP OF PF	Other (specify below) ROPULSION	
(Street) LORDSTOWN (City)	OH (State)	44481 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filin Form filed by One Rep Form filed by More tha Person	orting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)		
Class A Common Stock	01/12/2021		Р		1,000	Α	\$25.25	101,717	D			
Class A Common Stock	02/03/2021		S		1,000	D	\$ <mark>26</mark>	717	D			
Class A Common Stock	02/12/2021		Р		5,000	A	\$27.05	5,717	D			
Class A Common Stock	02/12/2021		Р		435	A	\$27.32	6,152	D			
Class A Common Stock	02/12/2021		Р		1,750	A	\$27.33	7,902	D			
Class A Common Stock	02/12/2021		Р		1,603	A	\$27.34	9,505	D			
Class A Common Stock	02/12/2021		Р		1,212	A	\$27.35	10,717	D			
Class A Common Stock	02/12/2021		Р		5,000	A	\$27.45	15,717	D			
Class A Common Stock	02/16/2021		P ⁽¹⁾		7,249	A	\$25.05	22,966	D			
Class A Common Stock	02/16/2021		P ⁽¹⁾		10,000	Α	\$25.15	32,966	D			
Class A Common Stock	02/16/2021		Р		5,000	A	\$25.45	37,966	D			
Class A Common Stock	02/16/2021		P ⁽¹⁾		250	A	\$25.03	38,216	D			
Class A Common Stock	02/16/2021		P ⁽¹⁾		2,501	Α	\$25.04	40,717	D			
Class A Common Stock	02/16/2021		Р		5,000	A	\$25.25	45,717	D			
Class A Common Stock	02/17/2021		P ⁽¹⁾		10,000	Α	\$24	55,717	D			
Class A Common Stock	02/17/2021		P ⁽¹⁾		5,000	A	\$23.85	60,717	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	rities lired r osed) . 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Warrants (Right to Buy)	\$11.5	11/18/2020		s			485	11/22/2020	10/23/2025	Class A Common Stock	485	\$12.87	0	D	
Warrants (Right to Buy)	\$11.5	11/19/2020		P ⁽¹⁾		626		11/22/2020	10/23/2025	Class A Common Stock	626	\$10.75	626	D	
Warrants (Right to Buy)	\$11.5	12/15/2020		s			226	11/22/2020	10/23/2025	Class A Common Stock	226	\$8.36	400	D	
Warrants (Right to Buy)	\$11.5	12/15/2020		S			400	11/22/2020	10/23/2025	Class A Common Stock	400	\$8.37	0	D	

Explanation of Responses:

1. This is the first of two late filings to report the transactions described herein that occurred on various dates from November 18, 2020 to April 15, 2021 (see "Remarks"), pursuant to which the reporting

person purchased an aggregate 100,976 shares of Issuer's Class A Common Stock and warrants as reported herein that were matchable under Section 16(b) of the Securities Exchange Act of 1934, to the extent of 100,976 shares with the reporting person's sale of 1,000 and 100,000 shares of the Issuer's Class A Common Stock at a price of \$26.00 per share on February 3, 2021 and \$25.21 per share on February 2, 2021, respectively. The reporting person has paid to the Issuer \$414,409.00, representing the full amount of the profit realized in connection with the short-swing transaction.

Remarks:

This Form 4 is the first of two Form 4s being filed by the Reporting Person relating to the transactions described herein that occurred on various dates from November 18, 2020 to April 15, 2021. The Form 4 has been split into two filings to cover all 31 individual transactions, because the SEC's EDGAR filing system limits a single Form 4 to a maximum of 30 separate transactions per table. Each Form 4 will be filed by the Reporting Person.

<u>/s/ Thomas V. Canepa,</u> Attorney-in-Fact

01/31/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.